

Internal bank data:				
Type of Account (e.g. savings account)	Account operated for:		Account No.	Account Currency
	<input type="checkbox"/> private	<input type="checkbox"/> business		
	<input type="checkbox"/> private	<input type="checkbox"/> business		
	<input type="checkbox"/> private	<input type="checkbox"/> business		
	<input type="checkbox"/> private	<input type="checkbox"/> business		
	<input type="checkbox"/> private	<input type="checkbox"/> business		

Additional designation if required:

## Opening of accounts for

- sole proprietorships, trading partnerships and corporations
- registered associations
- public-law entities including church institutions with independent legal existence

I (We) hereby apply for the opening of accounts. For these as well as for all other accounts opened in future the following terms shall apply:

Account Holder / Contract Partner (according to registration)		Legal Form	Industry <sup>1</sup>
Street		City	State / Country
Mobile phone	Business phone	E-mail Address	Website
Form of delivery of Account Statements		<input checked="" type="checkbox"/> by mail (obligatory for business accounts)	<input type="checkbox"/> by eBanking

Mailing address (if different from above address)

I hereby confirm that the counterparty (account holder) is a US resident for tax purposes<sup>2</sup>:

if so, the EIN (Employer Identification Number) is:

no

I hereby confirm that the contractual partner (the account holder) is resident in other foreign countries (with the exceptions of Germany and the USA) for tax purposes<sup>3</sup>:

yes, country Tax identification number in this country

no

This disclosure replaces all previous disclosures made by the account holder. The account holder must inform the bank of major changes within 30 days.

Use of electronic access media:

Card for the EC/Maestro Service  eBanking  EBICS

Modality of business relation:  Payments

Account type:

Current account  SEPA-Direct Debit  POI (Payment on Invoice)  PIA (Payment in Advance)  giropay  SCP  Payout Card

Currencies:  EUR  USD  GBP  CHF  CAD  Others:

### 1. Current account agreement, account balancing period

Unless otherwise agreed, the accounts shall be operated as current accounts. The Bank shall issue a periodic balance statement at the end of each calendar month.

The legal effect of a periodic balance statement as well as the obligation to check its contents and, if necessary, raise objections are regulated in No. 7 of the General Business Conditions.

Deviating agreement pursuant to section 675e of the German Civil Code (BGB):

Pursuant to section 675e, paragraph 4 of the German Civil Code (BGB), the bank agrees with the account holder that section 675h of the BGB will not be applied.

### 2. Right of representation<sup>4</sup>

The persons authorised to act as representatives vis-à-vis the Bank shall be specified separately in the specimen signatures sheet. Unless otherwise indicated, the right of representation shall apply also for all future accounts/safe custody accounts. The account holder must notify the Bank immediately and – for proof-related reasons – if possible in writing of the extinction or amendment of any right of disposal advised to the Bank. This notification requirement shall also exist where the right of representation has been recorded in a public register (e.g. Commercial Register) and its extinction or amendment is entered in this register.

### 3. Duty of the account holder to cooperate in accordance with the German Money Laundering Act<sup>5</sup>

The account holder is required to notify the bank of any changes to the mandatory information supplied to the bank in the course of the business relationship.

### 4. Incorporation of the General Terms and Conditions

The business relationship shall be governed by the General Business Conditions of the Bank. In addition, specific business relations shall be governed by Special Terms deviating from or supplementing these General Business Conditions. Such Special Conditions shall in particular apply to the use of cheques, the ec-/Maestro-Service, savings accounts, credit transfers and to securities transactions and forward trading. Stock exchange orders to be executed on the German stock exchanges shall be subject to the conditions governing transactions on the German stock exchanges. The text of the individual conditions can be inspected on the premises of the Bank. The account holder may also ask at a later date to be furnished with a copy of the General Business Conditions and Special Conditions.

### Place, Date, Signature(s)

(Company seal and signatures of proprietors, personally liable partners, management board members or managing directors)

### Bank remarks

Entered in register at the Amtsgericht (Local Court)  under no.   
 certified extract from register has been presented at

### Identification of persons acting upon opening of the account

	Name, Surname Private Address	Type of identity document issued by... on	Date of birth, Place of birth, Nationality
1.	<input type="text"/>	<input type="text"/> <input type="checkbox"/> appropriate third party <input type="checkbox"/> already identified <sup>9</sup>	<input type="text"/>
2.	<input type="text"/>	<input type="text"/> <input type="checkbox"/> appropriate third party <input type="checkbox"/> already identified <sup>9</sup>	<input type="text"/>
3.	<input type="text"/>	<input type="text"/> <input type="checkbox"/> appropriate third party <input type="checkbox"/> already identified <sup>9</sup>	<input type="text"/>
4.	<input type="text"/>	<input type="text"/> <input type="checkbox"/> appropriate thirs party <input type="checkbox"/> already identified <sup>9</sup>	<input type="text"/>

### Legal representatives/members of the representing entity of the account holder to be recorded when opening the account:

	Name, Surname	Address
1.	<input type="text"/>	<input type="text"/>
2.	<input type="text"/>	<input type="text"/>
3.	<input type="text"/>	<input type="text"/>
4.	<input type="text"/>	<input type="text"/>

### Other processing remarks concerning account:

Newly opened     Alteration    Period of notice for savings deposits:

Clarification of PEP status<sup>10</sup>

Contracting party:  has been determined     will be determined before initial account access

Beneficial owner:  has been determined     will be determined before initial account access

Additional information on handling:

Place, Date:     Signature of bank employee:

### Clarification of beneficial owner

Pursuant to German Money Laundering Regulations, further information concerning the clarification of the beneficial owner must be recorded on the separate form „information pursuant to the GwG“. No. 41.520

1 Please specify the industry. "Services" or "other Services" will not be accepted as Industry.  
 2 FATCA is the US Foreign Account Tax Compliance Act. Under the German FATCA Implementing Regulation (FATCA-USA-Umsetzungsverordnung), German banks are required to determine, whenever an account/custody account is opened by an association of persons or a company, whether a financial institution, an active non-financial entity, a passive non-financial entity, or an entity exempt from the scope of FATCA is involved. Where they are unsure, they are required to obtain a self-certification from the association of persons/company. Where a passive non-financial entity is involved, they are additionally required to request a declaration on whether and, if so, which natural persons who are US nationals or resident in the United States directly or indirectly hold at least 25 per cent of the capital or voting rights of the entity.  
 3 Residence for tax purposes is governed by national tax law. If you are unsure, please consult your tax adviser.  
 4 A signature specimen is to be provided on a separate form.  
 5 Section 4, subsection 6, and section 6, subsection 2, No. 1 GwG (German Money Laundering Act)  
 6 Act on the Detection of Proceeds from Serious Crimes - Money Laundering Act (Geldwäschegesetz)  
 7 German Banking Act  
 8 German Tax Law. (Abgabenordnung)  
 9 Renewed identification not required, as the person/representative was previously identified and the information compiled at the time is on record. Please provide further particulars.  
 10 PEP = Politically Exposed Person – clarification of PEP status is necessary for each natural person – for both the contracting party and the beneficial owner.

Internal bank data:				
Type of Account (e.g. savings account)	Account operated for:		Account No.	Account Currency
	<input type="checkbox"/> private	<input type="checkbox"/> business		
	<input type="checkbox"/> private	<input type="checkbox"/> business		
	<input type="checkbox"/> private	<input type="checkbox"/> business		
	<input type="checkbox"/> private	<input type="checkbox"/> business		
	<input type="checkbox"/> private	<input type="checkbox"/> business		

Additional designation if required:

## Opening of accounts for

- sole proprietorships, trading partnerships and corporations
- registered associations
- public-law entities including church institutions with independent legal existence

I (We) hereby apply for the opening of accounts. For these as well as for all other accounts opened in future the following terms shall apply:

Account Holder / Contract Partner (according to registration)		Legal Form	Industry <sup>1</sup>
Street		City	State / Country
Mobile phone	Business phone	E-mail Address	Website

Form of delivery of Account Statements  by mail (obligatory for business accounts)  by eBanking

Mailing address (if different from above address)

I hereby confirm that the counterparty (account holder) is a US resident for tax purposes<sup>2</sup>:

if so, the EIN (Employer Identification Number) is:

no

I hereby confirm that the contractual partner (the account holder) is resident in other foreign countries (with the exceptions of Germany and the USA) for tax purposes<sup>3</sup>:

yes, country Tax identification number in this country

no

This disclosure replaces all previous disclosures made by the account holder.

The account holder must inform the bank of major changes within 30 days.

Use of electronic access media:  Card for the EC/Maestro Service  eBanking  EBICS

Modality of business relation:  Payments

Account type:

Current account  SEPA-Direct Debit  POI (Payment on Invoice)  PIA (Payment in Advance)  giropay  SCP  Payout Card

Currencies:  EUR  USD  GBP  CHF  CAD  Others:

### 1. Current account agreement, account balancing period

Unless otherwise agreed, the accounts shall be operated as current accounts. The Bank shall issue a periodic balance statement at the end of each calendar month.

The legal effect of a periodic balance statement as well as the obligation to check its contents and, if necessary, raise objections are regulated in No. 7 of the General Business Conditions.

Deviating agreement pursuant to section 675e of the German Civil Code (BGB):

Pursuant to section 675e, paragraph 4 of the German Civil Code (BGB), the bank agrees with the account holder that section 675h of the BGB will not be applied.

### 2. Right of representation<sup>4</sup>

The persons authorised to act as representatives vis-à-vis the Bank shall be specified separately in the specimen signatures sheet. Unless otherwise indicated, the right of representation shall apply also for all future accounts/safe custody accounts. The account holder must notify the Bank immediately and – for proof-related reasons – if possible in writing of the extinction or amendment of any right of disposal advised to the Bank. This notification requirement shall also exist where the right of representation has been recorded in a public register (e.g. Commercial Register) and its extinction or amendment is entered in this register.

### 3. Duty of the account holder to cooperate in accordance with the German Money Laundering Act<sup>5</sup>

The account holder is required to notify the bank of any changes to the mandatory information supplied to the bank in the course of the business relationship.

### 4. Incorporation of the General Terms and Conditions

The business relationship shall be governed by the General Business Conditions of the Bank. In addition, specific business relations shall be governed by Special Terms deviating from or supplementing these General Business Conditions. Such Special Conditions shall in particular apply to the use of cheques, the ec-/Maestro-Service, savings accounts, credit transfers and to securities transactions and forward trading. Stock exchange orders to be executed on the German stock exchanges shall be subject to the conditions governing transactions on the German stock exchanges. The text of the individual conditions can be inspected on the premises of the Bank. The account holder may also ask at a later date to be furnished with a copy of the General Business Conditions and Special Conditions.

### Place, Date, Signature(s)

(Company seal and signatures of proprietors, personally liable partners, management board members or managing directors)

\_\_\_\_\_

\_\_\_\_\_

### Bank remarks

Entered in register at the Amtsgericht (Local Court) [redacted] under no. [redacted]  
 certified extract from register has been presented at [redacted]

### Identification of persons acting upon opening of the account

	Name, Surname Private Address	Type of identity document issued by... on	Date of birth, Place of birth, Nationality
1.	[redacted]	[redacted] <input type="checkbox"/> appropriate third party <input type="checkbox"/> already identified <sup>9</sup>	[redacted]
2.	[redacted]	[redacted] <input type="checkbox"/> appropriate third party <input type="checkbox"/> already identified <sup>9</sup>	[redacted]
3.	[redacted]	[redacted] <input type="checkbox"/> appropriate third party <input type="checkbox"/> already identified <sup>9</sup>	[redacted]
4.	[redacted]	[redacted] <input type="checkbox"/> appropriate thirs party <input type="checkbox"/> already identified <sup>9</sup>	[redacted]

### Legal representatives/members of the representing entity of the account holder to be recorded when opening the account:

	Name, Surname	Address
1.	[redacted]	[redacted]
2.	[redacted]	[redacted]
3.	[redacted]	[redacted]
4.	[redacted]	[redacted]

### Other processing remarks concerning account:

Newly opened  Alteration Period of notice for savings deposits: [redacted]

Clarification of PEP status<sup>10</sup>

Contracting party:  has been determined  will be determined before initial account access

Beneficial owner:  has been determined  will be determined before initial account access

Additional information on handling:

[redacted]

Place, Date	Signature of bank employee
[redacted]	[redacted]

### Clarification of beneficial owner

Pursuant to German Money Laundering Regulations, further information concerning the clarification of the beneficial owner must be recorded on the separate form „information pursuant to the GwG“. No. 41.520

1 Please specify the industry. "Services" or "other Services" will not be accepted as Industry.  
 2 FATCA is the US Foreign Account Tax Compliance Act. Under the German FATCA Implementing Regulation (FATCA-USA-Umsetzungsverordnung), German banks are required to determine, whenever an account/custody account is opened by an association of persons or a company, whether a financial institution, an active non-financial entity, a passive non-financial entity, or an entity exempt from the scope of FATCA is involved. Where they are unsure, they are required to obtain a self-certification from the association of persons/company. Where a passive non-financial entity is involved, they are additionally required to request a declaration on whether and, if so, which natural persons who are US nationals or resident in the United States directly or indirectly hold at least 25 per cent of the capital or voting rights of the entity.  
 3 Residence for tax purposes is governed by national tax law. If you are unsure, please consult your tax adviser.  
 4 A signature specimen is to be provided on a separate form.  
 5 Section 4, subsection 6, and section 6, subsection 2, No. 1 GwG (German Money Laundering Act)  
 6 Act on the Detection of Proceeds from Serious Crimes - Money Laundering Act (Geldwäschegesetz)  
 7 German Banking Act  
 8 German Tax Law. (Abgabenordnung)  
 9 Renewed identification not required, as the person/representative was previously identified and the information compiled at the time is on record. Please provide further particulars.  
 10 PEP = Politically Exposed Person – clarification of PEP status is necessary for each natural person – for both the contracting party and the beneficial owner.