

<input type="text"/> Merchant / company name: <input type="text"/> <input type="text"/>	<input type="text"/> Merchant / company number: <input type="text"/> <input type="text"/>
<input type="text"/> Account holder / contract partner: <input type="text"/>	<input type="text"/> Account number: <input type="text"/>

## Duty of the account holder / contract partner to cooperate under the GwG<sup>3</sup>

The Bank is obligated by law to collect and record in writing the following information. The account holder / contract partner is obligated to make available the information and documents necessary for determining beneficial owners and to immediately report to the Bank any changes in the mandatory information provided to it that may arise in the course of the business relationship.

## Information on beneficial owner(s)

The beneficial owner is the natural person who ultimately owns or controls the Account holder / contract partner / merchant or at whose behest the business relationship is established. Control/ownership is presumed to exist if a person directly or indirectly controls more than 25 per cent of the shares or voting rights. Determination of the beneficial owner may be waived if the account /custody account holder / merchant is a company which is listed on an organised market within the meaning of Section 2 (5) WpHG<sup>3</sup> in the EU. In the case of listed companies from third countries whose transparency requirements with respect to holdings of voting rights are equivalent to those under Community law, or if any other case covered by Section 14 (1) GwG<sup>1</sup> applies, determination of the beneficial owner may also be waived.

## I. Information when account holder / contract partner is acting at the behest of third party (only required for opening of bank accounts)

The account holder / contract partner is acting at the behest of the following natural person. If the account holder / contract partner is acting at the behest of another company, the name of this company should be entered here.

Name (where applicable, name of company)	First name(s)
<input type="text"/>	<input type="text"/>
if necessary, further identifying features (e.g. address/registered seat)	
<input type="text"/>	

## II. Information on ownership or control

### 1. Account holder / contract partner / merchant

  
 (Name of company)

is a.)  listed on an organised market within the meaning of Section 2 (5) WpHG<sup>4</sup> on which transparency requirements with respect to holdings of voting rights in line with those under Community law or equivalent international standards apply.

Trading venue / market segment	Stock exchange / abbreviation
<input type="text"/>	<input type="text"/>

**If this is the case, we are obliged, in accordance with the fifth sentence of section 3(2) of the Anti Money Laundering Act, to specify the legal representatives (such as managing directors, members of the board) as economic beneficiaries. Please add the details of all legal representatives in the table on the following page.**

is b.)  an authority within the meaning of Section 14 (1) GwG<sup>1</sup>.

is c.)  a credit institution or other undertaking within the meaning of Section 14 (1) GwG<sup>1</sup>.

The following applies to the information recorded under section II. 1 a)-c): nothing is known that precludes the application of simplified due diligence requirements in the respective case.

has no recognisable beneficial owner, as the holding limits are not exceeded (i.e. not more than 25 per cent of shares or voting rights) and there is no evidence of other effective control.

**If this is the case, we are obliged, in accordance with the fifth sentence of section 3(2) of the Anti Money Laundering Act, to specify the legal representatives (such as managing directors, members of the board) as economic beneficiaries. Please add the details of all legal representatives in the table on the following page.**

2. The account holder/contractual partner named on page 1 does not fall under section 1. The beneficial owner(s) as defined in section 3 (1) GwG<sup>1</sup> is/are:

Last name and first name(s), if available, address and date of birth	Percentage of shares held Shares/Voting rights – directly/indirectly –	US citizenship  If yes, please provide your Social Security Number	US tax liability	The beneficial owner is resident in other foreign countries (with the exceptions of Germany and the USA) for tax purposes <sup>6</sup> . This disclosure replaces all previous disclosures made by the account holder. The account holder must inform the bank of major changes within 30 days.
1.		<input type="checkbox"/> no <input type="checkbox"/> yes	<input type="checkbox"/> no <input type="checkbox"/> yes	<input type="checkbox"/> no <input type="checkbox"/> yes, country: _____ Tax identification number in this country: _____
		Social Security Number: _____		
2.		<input type="checkbox"/> no <input type="checkbox"/> yes	<input type="checkbox"/> no <input type="checkbox"/> yes	<input type="checkbox"/> no <input type="checkbox"/> yes, country: _____ Tax identification number in this country: _____
		Social Security Number: _____		
3.		<input type="checkbox"/> no <input type="checkbox"/> yes	<input type="checkbox"/> no <input type="checkbox"/> yes	<input type="checkbox"/> no <input type="checkbox"/> yes, country: _____ Tax identification number in this country: _____
		Social Security Number: _____		
4.		<input type="checkbox"/> no <input type="checkbox"/> yes	<input type="checkbox"/> no <input type="checkbox"/> yes	<input type="checkbox"/> no <input type="checkbox"/> yes, country: _____ Tax identification number in this country: _____
		Social Security Number: _____		
5.		<input type="checkbox"/> no <input type="checkbox"/> yes	<input type="checkbox"/> no <input type="checkbox"/> yes	<input type="checkbox"/> no <input type="checkbox"/> yes, country: _____ Tax identification number in this country: _____
		Social Security Number: _____		

I hereby confirm the accuracy of the above information:

Place, Date

Signature(s) of account holder(s)

Copy for the account holder

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<sup>1</sup> Geldwäschegesetz (GwG) = German Anti-Money Laundering Act

<sup>2</sup> FATCA is the US Foreign Account Tax Compliance Act. Under the German FATCA Implementing Regulation (FATCA-USA-Umsetzungsverordnung), German banks are required to determine, whenever an account/custody account is opened by an association of persons or a company, whether a financial institution, an active non-financial entity, a passive non-financial entity, or an entity exempt from the scope of FATCA is involved. Where they are unsure, they are required to obtain a self-certification from the association of persons/company. Where a passive non-financial entity is involved, they are additionally required to request a declaration on whether and, if so, which natural persons who are US nationals or resident in the United States directly or indirectly hold at least 25 per cent of the capital or voting rights of the entity.

<sup>3</sup> Sections 11 (6) and 15 (2) and (3) GwG<sup>1</sup>.

<sup>4</sup> Wertpapierhandelsgesetz (WpHG) = German Securities Trading Act

<sup>5</sup> PEP = Politically Exposed Person – clarification of PEP status is necessary for each natural person – for both the contracting party and the beneficial owner.

<sup>6</sup> Residence for tax purposes is governed by national tax law. If you are unsure, please consult your tax adviser.

**PEP-Status<sup>5</sup>**

**To be Completed by Wirecard Bank AG**

Contracting party:  has been determined  will be determined before initial account access

Beneficial owner:  has been determined  will be determined before initial account access

Place, Date

Signature of bank employee

Merchant / company name:	Merchant / company number:
<input type="text"/>	<input type="text"/>
Account holder / contract partner:	Account number:
<input type="text"/>	<input type="text"/>

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2.		<input type="checkbox"/> no <input type="checkbox"/> yes	<input type="checkbox"/> no <input type="checkbox"/> yes	<input type="checkbox"/> no <input type="checkbox"/> yes, country: _____ Tax identification number in this country: _____
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3.		<input type="checkbox"/> no <input type="checkbox"/> yes	<input type="checkbox"/> no <input type="checkbox"/> yes	<input type="checkbox"/> no <input type="checkbox"/> yes, country: _____ Tax identification number in this country: _____
		Social Security Number: _____		
4.		<input type="checkbox"/> no <input type="checkbox"/> yes	<input type="checkbox"/> no <input type="checkbox"/> yes	<input type="checkbox"/> no <input type="checkbox"/> yes, country: _____ Tax identification number in this country: _____
		Social Security Number: _____		
5.		<input type="checkbox"/> no <input type="checkbox"/> yes	<input type="checkbox"/> no <input type="checkbox"/> yes	<input type="checkbox"/> no <input type="checkbox"/> yes, country: _____ Tax identification number in this country: _____
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